

Partner David Tang Comments on the SEC's Recent Crackdown on Books-and-Records Violations

by David Tang

New York Partner David Tang was quoted in an article on PrivateFundsCFO digital magazine on March 5th, 2024. As the Securities and Exchange Commission (SEC) continues to crack down on books-and-records violations, regulators have begun focusing on small- and mid-sized private fund managers. “It’s trickling down,” said David. “Traditionally, when we see a request for communications, it’s often around trading or MNPI. Now you’re seeing a separate request saying, ‘Make sure you give us all the electronic communications in all formats.’”

Read the full article on PrivateFundsCFO.com. (A free account is required)

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