DORSEY
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Michael Schmieder

Senior Attorney

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Michael primarily advises private fund managers on the design and implementation of compliance policies and procedures. Michael also advises on registration of investment advisers with the SEC.

Having led operational due diligence for large institutional investors, Michael has deep experience with the operational side of private funds and has an understanding of the influence that a strong compliance program has on institutional investors.

Michael's expertise includes performing operational due diligence on more than 100 private equity funds and hedge funds across diverse strategies and asset classes.

Michael also has prior experience advising on fund formation of private funds.

Court Admissions

- U.S. District Court for the Southern District of New York
- U.S. District Court for the Eastern District of New York

Education

- Brooklyn Law School (J.D., 2002)
  - *magna cum laude*
- St. John's University (B.S., Criminal Justice, 1998)
  - Dean's list 1997, 1998

Bar Admissions

- New York

Insights

June 22, 2026
SEC Risk Alert Puts Investment Adviser Conflicts of Interest Back in Focus

May 11, 2026
SEC Increases Qualified Client Thresholds for Charging Performance Fees

December 30, 2025

SEC Issues New Risk Alert on the Marketing Rule

December 24, 2025
2026 Private Funds Compliance Calendar

December 19, 2026
Observations on the SEC's 2026 Examination Priorities

November 3, 2025
Deadlines for 2026 IARD Renewal Program

July 8, 2025
Investment Adviser Compliance with FinCEN's AML/CFT Rule

6/23/2025
SEC Withdraws 14 Proposed Rules

April 18, 2025
Michael Schmieder Discusses Regulatory Challenges of Off-Channel Communications and Strategies for Compliance

December 19, 2024
2025 Private Funds Compliance Calendar

November 14, 2024
Deadlines for 2025 IARD Renewal Program

June 10, 2024
SEC Adopts Amendments to Expand the Requirements of Regulation S-P for Registered Investment Advisers and Broker-Dealers

June 6, 2024
Fifth Circuit Strikes Down SEC's Private Fund Advisers Rule

June 3, 2024
RIA Regulatory Review

May 21, 2024
SEC and FinCEN Propose Customer Identification Program Requirements for Investment Advisers