

Michael Schmieder Discusses Regulatory Challenges of Off-Channel Communications and Strategies for Compliance

by Michael Schmieder

In an article for Regulatory Compliance Watch, senior attorney Michael Schmieder discussed the regulatory pressures from the SEC on off-channel communications at advisory firms. Michael provided some thoughtful strategies for staying ahead of potential off-comm issues and maintaining compliance.

Read the [full article](#) on Regulatory Compliance Watch. (A subscription is required).

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